

07-24-00

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Express Mail Label No. EM400990102US

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. INK-086 (2108/66)

First Named Inventor Amundson

Title Use of a Storage Capacitor to Enhance the
Performance of an Active Matrix Driven Electronic
DisplayJc864 U.S. PTO
09/621749

07/21/00

APPLICATION ELEMENTS

ADDRESS TO: **Box Patent Application**
Assistant Commissioner for Patents
Washington, D.C. 202311. ☒ Fee Transmittal Form2. ☒ Specification and Drawings [Total Pages 28]

- Specification - (17 pages)
- Claims - (3 pages)
- Abstract - (1 page)
- Sheets of Drawings - (7 sheets)

☒ Formal
☐ Informal
3. ☒ Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)
[Note Box 4 below]4. ☐ Incorporation by Reference (usable if Box 3b is checked)

The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. ☐ Microfiche Computer Program (Appendix)6. ☐ Nucleotide and/or Amino Acid Sequence Submission

- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney8. ☐ English Translation Document (if applicable)9. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations10. ☐ Preliminary Amendment
☐ Drawings [Total Sheets]
☐ Letter to Official Draftsperson Including
Drawings [Total Pages]11. ☒ Return Receipt Postcard12. ☒ Small Entity Statement(s)
☐ Statements filed in prior application,
(Status still proper and desired)13. ☐ Certified Copy of Priority Document(s)14. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in
the prior application.15. ☒ Patent Application Data Entry Form16. ☐ Other:17. ☐ If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Serial No. ____/____.

Priority to the above application(s) is claimed under 35 U.S.C. 120.

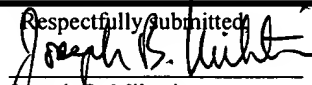
Prior application information: Examiner: _____. Group/Art Unit: _____.

18. ☒ **Priority - 35 U.S.C. 119**☒ Priority of application Serial No. 60/144,911 filed on July 21, 1999 in U.S. is claimed under 35 U.S.C. 119.☐ The certified copy has been filed in prior U.S. application Serial No. ____/____ on ____.☐ The certified copy will follow.

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: July 21, 2000
Reg. No. 42,897
Tel. No.: (617) 248-7695
Fax No.: (617) 248-7100Respectfully submitted,

Joseph B. Milstein
Atty/Agent for Applicant(s)
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

MILSTEIN\2108\66.1037000_1

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))-- SMALL BUSINESS CONCERN**
Attorney Docket No. **INK-086****(2108/66)**

Applicant, Patentee, or Identifier: **Amundson et al**
 Application or Patent No.: **Not yet assigned**
 Filed or Issued: **Herewith**
 Title: **Use of a Storage Capacitor to Enhance the Performance of an Active Matrix Drive Electronic Display**

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: E Ink Corporation.ADDRESS OF SMALL BUSINESS CONCERN: 733 Concord Avenue, Cambridge, MA 02138.

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d) and 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

NAME OF PERSON SIGNING **David J. Cole**TITLE OF PERSON IF OTHER THAN OWNER **Intellectual Property Counsel**ADDRESS OF PERSON SIGNING **733 Concord Avenue, Cambridge, MA 02138**

SIGNATURE

David J. Cole

DATE

July 19, 2000

FEE TRANSMITTAL

Note: Effective January 10, 2000.
Patent fees are subject to annual revision

Complete if Known

Application Serial Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Amundson
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	INK-086 (2108/66)

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.

FEE CALCULATION**1. FILING FEE****Large Entity**

Fee (\$)	Fee Description	Fee Paid
690	Utility filing fee	690
310	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	20	- 20 = 0	x \$ 18.00 =	

Independent

Claims	3	- 3 = 0	x \$ 78.00 =	
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☐ Multiple Dependent Claim(s), if any \$260.00 =

TOTAL: 690

SMALL ENTITY DISCOUNT: 345

SUBTOTAL (1) (\$) 345

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 78.00 =

☐ First Presentation of Multiple Dep. Claim + \$260.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 345

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
380	190	Extension for reply within second month	
870	435	Extension for reply within third month	
1,360	680	Extension for reply within fourth month	
1,850	925	Extension for reply within fifth month	
300	150	Notice of Appeal	
300	150	Filing a brief in support of an appeal	
260	130	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Statement (37 CFR 1.97(c))	
130	130	Submission of Information Disclosure Statement (37 CFR 1.97(d))	
690	345	Filing a submission after final rejection (37 CFR 1.129(a))	
690	345	For each additional invention to be examined (37 CFR 1.129(b))	
		Other (Specify)	

SUBTOTAL (3) (\$)

SUBTOTAL (1) 345

SUBTOTAL (2)

SUBTOTAL (3)

TOTAL (\$) 345.00

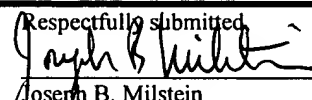
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Respectfully submitted,

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